

Organisation	Programme	CPD hours	Period	Contact
ProEthics	Professional Ethics for Compliance Officers (1 day programme)	Facilitated 7 CPD hours	March 2019 - February 2020	Dr Janette Minaar-van Veijeren janette@proethics.co.za
	Imperatives for ethical behaviour	Online 2 CPD hours	February 2019 - January 2020	Dr Janette Minaar-van Veijeren janette@proethics.co.za
	Defining professional Ethics	Online 1 CPD hour	February 2019 - January 2020	Dr Janette Minaar-van Veijeren janette@proethics.co.za
	Examples of fraud and corruption as forms of unethical behaviour	Online 3 CPD hours	February 2019 - January 2020	Dr Janette Minaar-van Veijeren janette@proethics.co.za
	Qualities of an ethical person	Online 2 CPD hours	February 2019 - January 2020	Dr Janette Minaar-van Veijeren janette@proethics.co.za
	Ethics management	Online 2 CPD hours	February 2019 - January 2020	Dr Janette Minaar-van Veijeren janette@proethics.co.za
	Anti-Bribery Overview (online programme)	Online 1 CPD hour	April 2018 – March 2019	Dr Minette Smit minette@complianceonline.co.
Nedbank Group	Nedbank Group Compliance Methodology Training	Facilitated 15 CPD hours	Aug 2016-Aug 2018	Sarie Webster sariew@nedbank.co.za
Nedbank Group	Nedbank Group Compliance Forum	Facilitated 4 CPD hours	Oct 2017-2018	Sarie Webster sariew@nedbank.co.za
Nedbank Group	Nedbank Compliance Risk Management Plans	Facilitated 5 CPD hours	Oct 2017-2018	Sarie Webster sariew@nedbank.co.za
Nedbank Group	Nedbank Compliance Risk Monitoring	Facilitated 5 CPD hours	Oct 2017-2018	Sarie Webster sariew@nedbank.co.za
Nedbank Group	Nedbank Group Compliance Training – Profile Development	Facilitated 5 CPD hours	Oct 2017-2018	Sarie Webster sariew@nedbank.co.za
	FAIS Level 1 Regulatory Exams Preparation Workshop (Key Individuals and Compliance officers)	Facilitated 14 CPD hours	March 2018-March 2019	Lita@growthinmotion.co.za
	FAIS Level 3 Regulatory Exams Preparation Workshop (Key Individuals and Compliance officers)	Facilitated 7 CPD hours	August 2018- July 2019	Lita@growthinmotion.co.za
	FAIS Level 4 Regulatory Exams Preparation Workshop (Key Individuals and Compliance officers)	Facilitated 7 CPD hours	August 2018- July 2019	Lita@growthinmotion.co.za

Class of Business (Fit & Proper KI's and Compliance Officers)	Generic /Pre-requisite Module	Online 4 CPD hours Facilitated 4 CPD hours	February 2019 - January 2020	Lita@growthinmotion.co.za
	Short term insurance (Personal)	Online 9 CPD hours Facilitated 13 CPD hours	February 2019 - January 2020	Lita@growthinmotion.co.za
	Short Term Insurance (Commercial)	Online 13 CPD hours Facilitated 16 CPD hours	February 2019 - January 2020	Lita@growthinmotion.co.za
	Long Term Insurance	Online 9 CPD hours Facilitated 13 CPD hours	February 2019 - January 2020	Lita@growthinmotion.co.za
	Pension Fund Benefits	Online 9 CPD hours Facilitated 13 CPD hours	February 2019 - January 2020	Lita@growthinmotion.co.za
	Long & Short-Term Deposits	Online 9 CPD hours Facilitated 13 CPD hours	February 2019 - January 2020	Lita@growthinmotion.co.za
	Structured Deposits	Online 9 CPD hours Facilitated 13 CPD hours	February 2019 - January 2020	Lita@growthinmotion.co.za
	Investments	Online 11 CPD hours Facilitated 16 CPD hours	February 2019 - January 2020	Lita@growthinmotion.co.za
	Forex Investments	Online 9 CPD hours Facilitated 13 CPD hours	February 2019 - January 2020	Lita@growthinmotion.co.za
Growth in Motion (Pty) Ltd	Health Benefits	Online 11 CPD hours Facilitated 15 CPD hours	February 2019 - January 2020	Lita@growthinmotion.co.za
	Money Laundering	Online 2 CPD hours Facilitated 4 CPD hours	February 2019 - January 2020	Lita@growthinmotion.co.za



	Bribery and corruption	Online 2 CPD hours Facilitated 4 CPD hours	February 2019 - January 2020	Lita@growthinmotion.co.za
	Conflict of interest	Online 2 CPD hours Facilitated 4 CPD hours	February 2019 - January 2020	Lita@growthinmotion.co.za
	Emotional Intelligence	Online 4 CPD hours	February 2019 - January 2020	Lita@growthinmotion.co.za
	Conflict Management	Online 4 CPD hours	February 2019 - January 2020	Lita@growthinmotion.co.za
	Diversity management	Online 5 CPD hours	February 2019 - January 2020	Lita@growthinmotion.co.za
	Time management	Online 3 CPD hours	February 2019 - January 2020	Lita@growthinmotion.co.za
	The review and update of all legislation and the impact it has on the FAIS Key Individual	Facilitated 7 CPD hours	February 2019 - January 2020	Lita@growthinmotion.co.za
	Competition Law	Online 2 CPD hours	April 2018 – March 2019	Dr Minette Smit minette@complianceonline.co.
	Consumer Protection Act (CPA)	Online 8 CPD hours	April 2018 – March 2019	Dr Minette Smit minette@complianceonline.co.
	Ethics in the workplace	Online 3 CPD hours	April 2018 – March 2019	Dr Minette Smit minette@complianceonline.co.
	Financial Intelligence Centre Act (FICA):	Online 1 CPD hour	April 2018 – March 2019	Dr Minette Smit minette@complianceonline.co.
Compliance Online	King IV and Corporate Governance training	Online 2 CPD hours	April 2018 – March 2019	Dr Minette Smit minette@complianceonline.co.
	National Credit Act (NCA) training	Online 1 CPD hour	April 2018 – March 2019	Dr Minette Smit minette@complianceonline.co.
	Occupational Health and Safety training	Online 2 CPD hours	April 2018 – March 2019	Dr Minette Smit minette@complianceonline.co.
	Protection of Personal Information Act (POPI)	Online 4 CPD hours	April 2018 – March 2019	Dr Minette Smit minette@complianceonline.co.
	Social Media in the workplace	Online 1 CPD hour	April 2018 – March 2019	Dr Minette Smit minette@complianceonline.co.
	Protection of Personal Information Act (POPIA) for Human Resources workshop	Facilitated 3 CPD hours	June 2018- May 2019	Dr Minette Smit minette@complianceonline.co.
	ISO27001 Certified ISMS Foundation	Facilitated 7 CPD hours	March 2018-February 2019	Saldys.sheriff@africariskmanagers.com
	ISO27001 Certified ISMS Foundation Auditor Course	Facilitated 14 CPD hours	March 2018-February 2019	Saldys.sheriff@africariskmanagers.com





	ISO27001 Certified ISMS Lead Implementer Training Course	Facilitated 21 CPD hours	March 2018-February 2019	Saldys.sheriff@africanriskmanagers.com
African Risk Management Compliance	ISO27001 Certified ISMS Lead Auditor Training Course	Facilitated 21 CPD hours	March 2018-February 2019	Saldys.sheriff@africanriskmanagers.com
	Combating Financial Crime	Facilitated 14 CPD hours	March 2018-February 2019	Saldys.sheriff@africanriskmanagers.com
	Global Financial Compliance	Facilitated 14 CPD hours	March 2018-February 2019	
	Risk in Financial Services	Facilitated 14 CPD hours	March 2018-February 2019	Saldys.sheriff@africanriskmanagers.com
Standard Bank Group	Anti-Bribery and Corruption General Awareness	Online 1 CPD hour	June 2018- May 2019	Desere Botha Desere.botha@standardbank.co.za
	Anti-Money Laundering/Combating the Financing of terrorism Awareness	Online 1 CPD hour	June 2018- May 2019	Desere Botha Desere.botha@standardbank.co.za
	Code of Ethics	Online 1 CPD hour	June 2018- May 2019	Desere Botha Desere.botha@standardbank.co.za
	Occupational health and Safety General Awareness	Online 1 CPD hour	June 2018- May 2019	Desere Botha Desere.botha@standardbank.co.za
	Integrated Operational Risk	Online 1 CPD hour	June 2018- May 2019	Desere Botha Desere.botha@standardbank.co.za
	Preventing the Criminal Facilitation of Tax Evasion General Awareness	Online 1 CPD hour	June 2018- May 2019	Desere Botha Desere.botha@standardbank.co.za
	Introduction to Conduct	Online 1 CPD hour	June 2018- May 2019	Desere Botha Desere.botha@standardbank.co.za
	Personal Conduct	Online 1 CPD hour	June 2018- May 2019	Desere Botha Desere.botha@standardbank.co.za
	Business Conduct	Online 1 CPD hour	June 2018- May 2019	Desere Botha Desere.botha@standardbank.co.za
	Client Conduct	Online 1 CPD hour	June 2018- May 2019	Desere Botha Desere.botha@standardbank.co.za
Compli-Serve SA (Pty) Ltd	Fit and Proper requirements based on Board Notice 194 of 2017	Facilitated 3 CPD hours	June 2018 - May 2019	James George james@compliserve.co.za
	Anti Money Laundering	Online 4 CPD hours	January 2018 - December 2019	James George james@compliserve.co.za
Juta	Online Compliance articles with assessment (verified)	Online 1 CPD hour	August 2018 – July 2019	pvanaardt@juta.co.za
	Fit and Proper Board Notice 194 training for Key Individuals	Facilitated 6 CPD hours	August 2018 – July 2019	albert@mbse.ac.za
Moonstone	Basic Compliance for your Practice	Facilitated 6 CPD hours	February 2019 - January 2020	albert@mbse.ac.za
	Supervision Requirements: FAIS Notice 86 of 2018	Facilitated 6 CPD hours	February 2019 - January 2020	albert@mbse.ac.za





	Compliance Practice and Legislation	Facilitated 6 CPD hours	February 2019 - January 2020	albert@mbse.ac.za
Wallenkamp	Understanding the legislation related to financial market abuse and insider training.	Facilitated 6 CPD hours	13 August 2018 – 12 July 2019	Brett Wallenkamp brett@faisfirst.co.za
	FAIS Act Amendments 2019	Facilitated 4 CPD hours	December 2018 - November 2019	Brett Wallenkamp brett@faisfirst.co.za
	Managing & Overseeing FAIS	Facilitated 6 CPD hours	December 2018 - November 2019	Brett Wallenkamp brett@faisfirst.co.za
Plumb Line Risk Alignment	Collective Investment Schemes - Topic 1: CIS – Types & Structures	Online 1 CPD hour	January 2019-December 2019	Izak de Wet izak@plumbline.co.za
University of the Witwatersrand	Risk, Compliance and Governance Law Postgraduate Certificate Course	Programme 30 CPD hours	November 2018-october 2019	Magda Janse van Noordwyk Magda.jansevan Noordwyk@wits.ac.za
Felix Risk Training Consultants cc	Key Individual Refresher Course	Facilitated 14 CPD hours	February 2019 - January 2020	Elmarie Koekemoer elmarie@frtc.co.za
	FAIS RE 1 & 5 Regulatory Examinations Preparation programme (Fit & Proper KI's and Compliance officers)	Facilitated 14 CPD hours	February 2019 - January 2020	Elmarie Koekemoer elmarie@frtc.co.za
The Human Edge (Pty) Ltd	Crucial Conversations	Facilitated 14 CPD hours Online 14 hours	February 2019 - January 2020	Tracy Botha Tbotha@humanedge.co.za
	Crucial Accountability (2 Days)	Facilitated 14 CPD hours	February 2019 - January 2020	Tracy Botha Tbotha@humanedge.co.za
	Crucial Accountability (1 Day)	Facilitated 7 CPD hours	February 2019 - January 2020	Tracy Botha Tbotha@humanedge.co.za
	Influencer/ The new science of leading change	Facilitated 14 CPD hours	February 2019 - January 2020	Tracy Botha Tbotha@humanedge.co.za
HSBC Bank (Africa)	HSBC Africa Conference	Facilitated 6 CPD hours	October 2018- September 2019	Jade Pillay jade.pillay@za.hsbc.com